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GROUP 3600

PATENTS
CF-12

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Applicants : Stewart Harris et al.
Application No. : 09/755,591 Confirmation No. : 3838
Filed : January 5, 2001
For : SYSTEMS AND METHODS FOR MONITORING
CREDIT OF TRADING COUNTERPARTS
Group Art Unit : 3624
Examiner : Steven R. Wasylchak

Hon. Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

TRANSMITTAL LETTER

Sir:

Transmitted herewith: ☐ a Preliminary Amendment;
☒ a Reply to Office Action; ☐ a Petition Under 37 C.F.R. §
1.136(a) for Extension of time; ☐ a Substitute Specification;
☐ an Associate Power of Attorney; ☐ formal drawings; to be
filed in the above-identified patent application.

FEE FOR ADDITIONAL CLAIMS

☒ A fee for additional claims is not required.
☐ A fee for additional claims is required.

Express Mail:
EV371752616US

The additional fee has been calculated as shown below:

CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDITIONAL FEES
TOTAL CLAIMS	-	* =	X \$ 18 =	
INDEPENDENT CLAIMS	-	** =	X \$ 86 =	
FIRST PRESENTATION OF A MULTIPLE DEPENDENT CLAIM			+ \$ 290 =	
* If less than 20, insert 20.				TOTAL \$ _____
** If less than 3, insert 3.				

[] A check in the amount of \$_____ in payment of the filing fee is transmitted herewith.

[X] The Director is hereby authorized to charge payment of any additional filing fees required under 37 C.F.R. § 1.16, in connection with the paper(s) transmitted herewith, or credit any overpayment of same, to deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.

[] Please charge \$_____ to Deposit Account No. 06-1075 in payment of the filing fee. A duplicate copy of this transmittal letter is transmitted herewith.

EXTENSION FEE

[] The following extension is applicable to the Response filed herewith; [] \$110.00 extension fee for response within first month pursuant to 37 C.F.R. § 1.136(a); [] \$420.00 extension fee for response within second month

pursuant to 37 C.F.R. § 1.136(a); ☐ \$950.00 extension fee for response within third month pursuant to 37 C.F.R. § 1.136(a); ☐ \$1,480.00 extension fee for response within fourth month pursuant to 37 C.F.R. § 1.136(a); ☐ \$2,010.00 within fifth month pursuant to 37 C.F.R. § 1.136(a).

☐ A check in the amount of ☐ \$110.00; ☐ \$420.00; ☐ \$950.00; ☐ \$1,480.00; ☐ \$2,010.00 in payment of the extension fee is transmitted herewith.

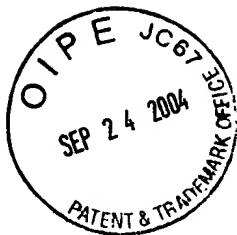
☒ The Director is hereby authorized to charge payment of any additional fees required under 37 C.F.R. § 1.17 in connection with the paper(s) transmitted herewith, or to credit any overpayment of same, to Deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.

☐ Please charge the ☐ \$110.00; ☐ \$420.00; ☐ \$950.00; ☐ \$1,480.00; ☐ \$2,010.00 extension fee to Deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.

Respectfully submitted,



Adam M. Saltzman
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Alexandria, Virginia 22313-1450

EXPRESS MAIL CERTIFICATION

Express Mail Label No. EV371752616US

Date of Deposit: September 24, 2004

I hereby certify that this certification and the
following papers:

1. Transmittal Letter (in duplicate); and
2. Reply to Office Action

are being deposited with the United States Postal Service
"Express Mail Post Office to Addressee" service under 37 C.F.R.
§ 1.10 on the date indicated above and are addressed to
Hon. Commissioner for Patents, P.O. Box 1450, Alexandria, VA
22313-1450.

Name: Lillian Garcia



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REPLY TO OFFICE ACTION

Sir:

Responsive to the Office Action mailed
June 25, 2004, applicants hereby request reconsideration of
the above-identified patent application in view of the
following remarks.

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EV371752616US